# SQL Server Performance SQL 2016 new innovations

## Ivan Kosyakov

Technical Architect, Ph.D., <a href="http://biz-excellence.com">http://biz-excellence.com</a> Microsoft Technology Center, New York



## Mission-critical performance

Performance

Security

Availability

Scalability

In-Memory OLTP
enhancements

Greater T-SQL surface area, terabytes of memory supported, and higher number of parallel CPUs

Always Encrypted
Sensitive data remains encrypted at all times, with ability to query
Dynamic Data Masking
Dynamic Data Masking
Distributed availability groups, and Windows Server ReFS

Live migration
Eacher live enteration live

#### **Operational Analytics**

Insights on operational data; works with In-Memory OLTP and diskbased OLTP

#### **Query Store**

Monitored, optimized query plans

#### **Temporal Tables**

Query data as points in time and recover from accidental data changes and application errors

Row-Level Security

Fine-grained access control for table rows

#### Other enhancements

Audit success/failure of database operations

TDE support for storage o In-Memory OLTP tables

Enhanced auditing for OLTP with ability to track history of record changes

Distributed availability groups, automatic replica seeding, distributed transactions, automatic failover, load balancing, manageability

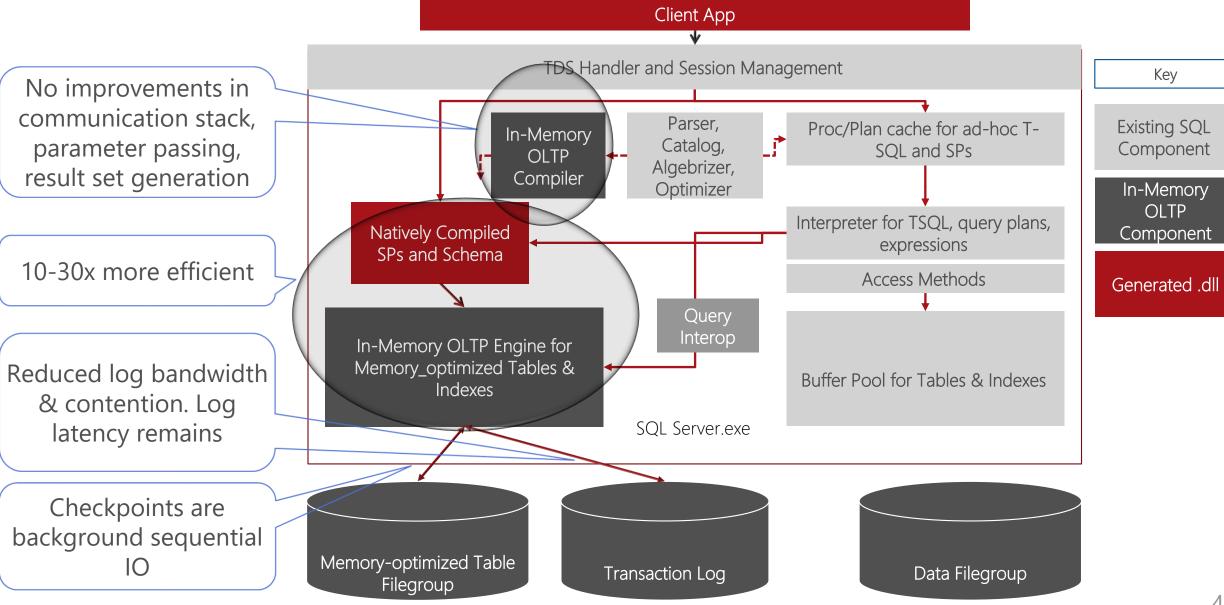
**Backup enhancements** Managed backup to Azure, Databaso Recovery Advisor Faster live migration, live migration for non-clustered VM:

Scalability enhancements
Hardware acceleration for TDE,
parallelized decryption, TempDB
optimization, and more





#### In-memory OLTP Architecture



#### Performance and Scaling Improvements

Supports up to 2 TB of user data in durable memory optimized tables in a single database.

Multiple threads to persist memory-optimized tables

#### Parallel Support

- Parallel scan for memory-optimized tables and HASH indexes
- Parallel plan support for accessing memory-optimized tables

#### Query Surface Area in Native Modules

Disjunction (OR, NOT)

UNION and UNION ALL

**SELECT DISTINCT** 

**OUTER JOIN** 

Subqueries in SELECT statements (EXISTS, IN, scalar subqueries)

Nested execution (EXECUTE) of natively compiled modules

LOB types for parameters and variables.

Natively compiled inline table-valued functions (TVFs)

**EXECUTE AS CALLER support** 

**Built-in security functions** 

Increased support for built-in math functions

#### Transact-SQL

#### Support with memory-optimized tables for:

NULLable index key columns.

LOB types [varchar(max), nvarchar(max), and varbinary(max)]

UNIQUE indexes in memory-optimized tables.

FOREIGN KEY constraints between memory-optimized tables.

**CHECK and UNIQUE constraints** 

Triggers (AFTER) for INSERT/UPDATE/DELETE operations.

#### Transact-SQL

```
ALTER TABLE Sales.SalesOrderDetail
ALTER INDEX PK_SalesOrderID
REBUILD
WITH (BUCKET_COUNT=100000000)
```

#### ALTER support

Full schema change support: add/alter/drop column/constraint

Add/drop index supported

The ALTER TABLE syntax is used for making changes to the table schema, as well as for adding, deleting, and rebuilding indexes

Indexes are considered part of the table definition

Key advantage is the ability to change the **BUCKET\_COUNT** with an **ALTER INDEX** statement

#### Altering natively compiled stored procedures

```
CREATE PROCEDURE [dbo].[usp_1]
WITH NATIVE COMPILATION, SCHEMABINDING, EXECUTE AS OWNER
AS BEGIN ATOMIC WITH
 TRANSACTION ISOLATION LEVEL = SNAPSHOT, LANGUAGE =
N'us english'
SELECT c1, c2 from dbo.T1
END
GO
ALTER PROCEDURE [dbo].[usp 1]
WITH NATIVE COMPILATION, SCHEMABINDING, EXECUTE AS OWNER
AS BEGIN ATOMIC WITH
 TRANSACTION ISOLATION LEVEL = SNAPSHOT, LANGUAGE =
N'us english'
SELECT c1 from dbo.T1
END
GO
```

You can now perform ALTER operations on natively compiled stored procedures using the ALTER PROCEDURE statement

Use **sp\_recompile** to recompile stored procedures on the next execution

#### Transact-SQL

#### Full support for all Collation and Unicode Support

(var)char columns can use any code page supported by SQL Server

Character columns in index keys can use any SQL Server collation

Expressions in natively compiled modules as well as constraints on memory-optimized tables can use any SQL Server collation

#### Scalar User-Defined Functions for In-Memory OLTP

Create, drop, and alter natively compiled, scalar user-defined functions

Native compilation improves performance of the evaluation of UDFs in T-SQL

#### Cross-Feature Support

System-Versioned Temporal Tables

**Query Store** 

Row-Level Security (RLS)

Multiple Active Result Sets (MARS)

Transparent Data Encryption (TDE)

#### Using multiple active result sets (MARS)

#### MARS simplifies application design:

Applications can have multiple default result sets open and can interleave reading from them.

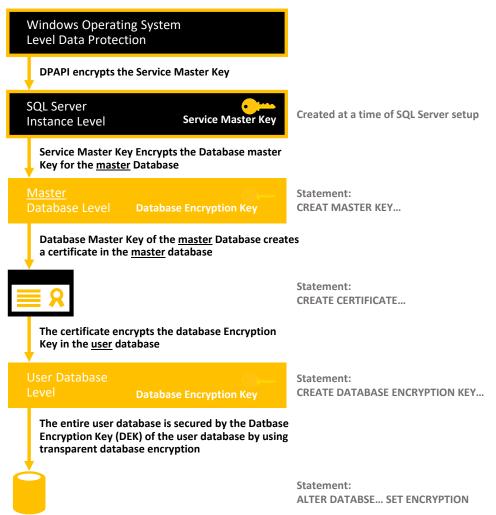
Applications can execute other statements (for example, INSERT, UPDATE, DELETE, and stored procedure calls) while default result sets are open.

Set up MARS connection for memory-optimized tables using MultipleActiveResultsSets=True in your connection string

Data Source=MSSQL; Initial Catalog=AdventureWorks; Integrated Security=SSPI; MultipleActiveResultSets=True

## Support for Transparent Data Encryption (TDE)

Transparent Database Encryption architecture



In SQL Server 2016, the storage for memory-optimized tables will be encrypted as part of enabling TDE on the database

Simply follow the same steps as you would for a disk-based database

#### Improvements in Management Studio

#### Lightweight performance analysis

Transaction Performance Analysis report pinpoints hotspots in the application

#### Generating migration checklists

Migration checklists show unsupported features used in current disk-based tables and interpreted T-SQL stored procedures

Generated checklists for all or some tables and procedures

Use GUI or PowerShell

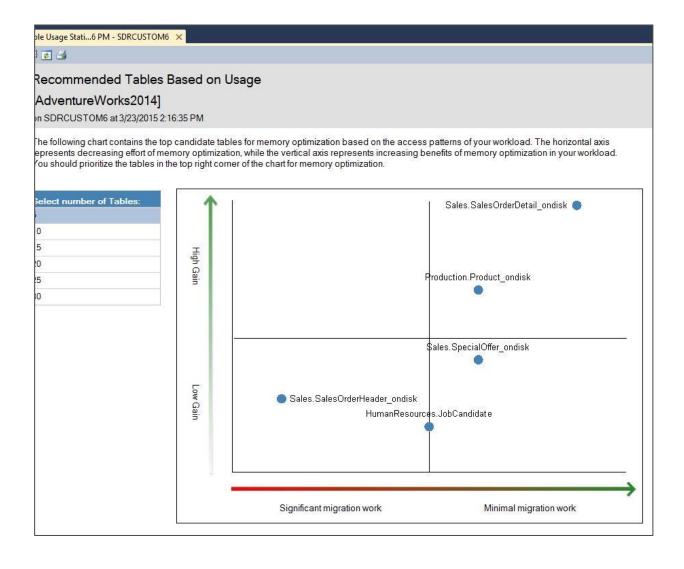
#### Improved scaling

#### Other enhancements include:

- Multiple threads to persist memory-optimized tables
- Multi-threaded recovery
- MERGE operation
- Dynamic management view improvements to sys.dm\_db\_xtp\_checkpoint\_stats and sys.dm\_db\_xtp\_checkpoint\_files
- DBCC CHECKDB performance changed to support 1
   TB database check improvement by 7x

In-Memory OLTP engine has been enhanced to scale linearly on servers up to 4 sockets

#### New Transaction Performance Analysis Overview report



New report replaces the need to use the Management Data Warehouse to analyze which tables and stored procedures are candidates for in-memory optimization

## Summary: In-Memory OLTP enhancements

#### Capability

ALTER support for memory-optimized tables

**Greater Transact-SQL coverage** 

#### Benefits

Improved scaling: In-Memory OLTP engine has been enhanced to scale linearly on servers up to 4 sockets

Tooling improvements in Management Studio

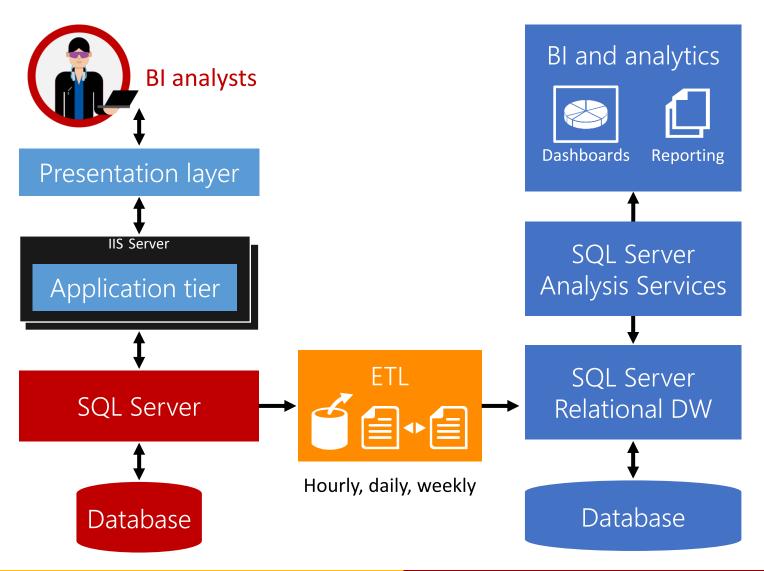
MARS (multiple active result sets) support

TDE (Transparent Data Encryption)-enabled: all on-disk data files are now encrypted once TDE is enabled

Operational Analytics: diskbased and in-memory tables



#### Traditional operational/analytics architecture



#### Key issues

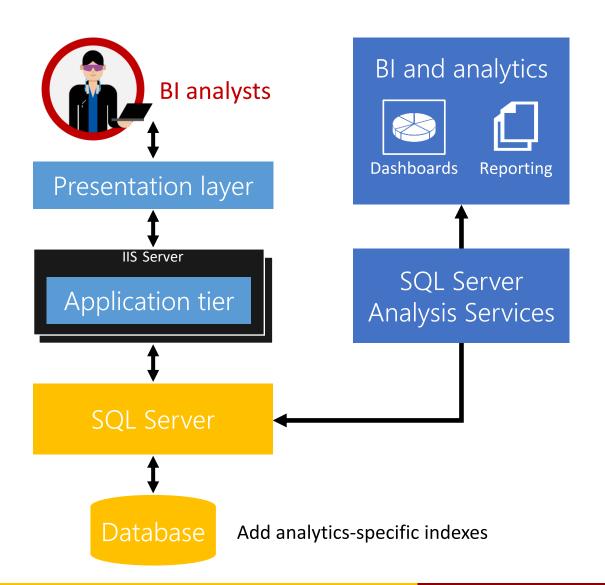
Complex implementation

Requires two servers (capital expenditures and operational expenditures)

Data latency in analytics

High demand; requires real-time analytics

## Minimizing data latency for analytics



#### Challenges

Analytics queries are resource intensive and can cause blocking

Minimizing impact on operational workloads

Sub-optimal execution of analytics on relational schema

#### Benefits

No data latency

No ETL

No separate data warehouse

## Operational Analytics

The ability to run analytics queries concurrently with operational workloads using the same schema

#### Goals:

- Minimal impact on operational workloads with concurrent analytics
- Performance analytics for operational schema

#### Not a replacement for:

- Extreme analytics performance queries possible only using customized schemas (e.g. Star/Snowflake) and pre-aggregated cubes
- Data coming from non-relational sources
- Data coming from multiple relational sources requiring integrated analytics

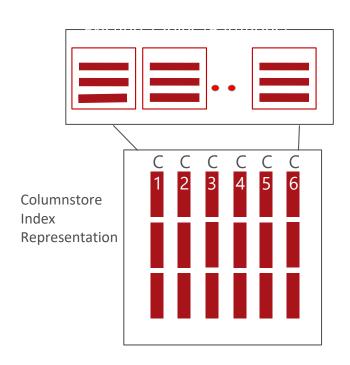


## In-Memory In the Data Warehouse Data Stored Row-Wise: Heaps, b-Trees, Key-Value

- In-Memory ColumnStore
- Both memory and disk
- Built-in to core RDBMS engine
- Customer Benefits:
  - 10-100x faster
  - Reduced design effort
  - Work on customers' existing hardware
  - Easy upgrade; Easy deployment

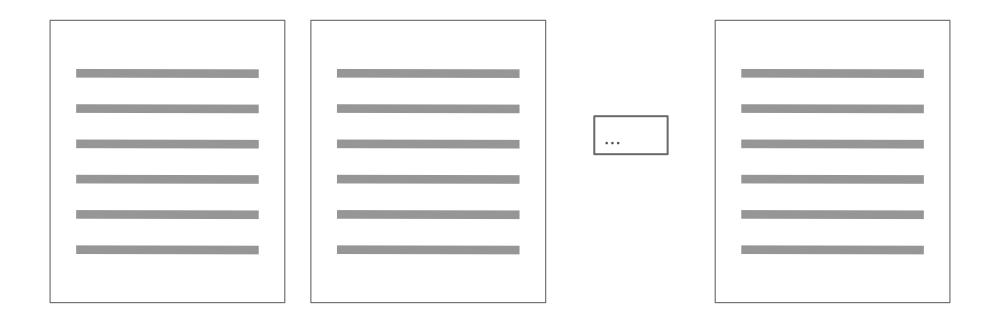
"By using SQL Server 2012 In-Memory ColumnStore, we were able to extract about 100 million records in **2 or 3 seconds** versus the **30 minutes required** previously."

- Atsuo Nakajima Asst Director, Bank of Nagoya



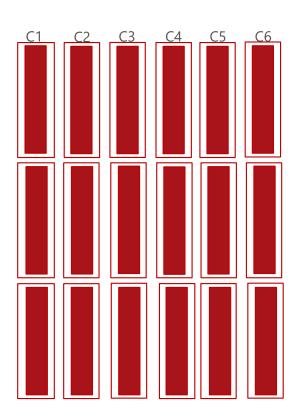
## Traditional Storage Models Data Stored Row-Wise: Heaps, b-Trees, Key-Value

• Relational, dimensional, map reduce



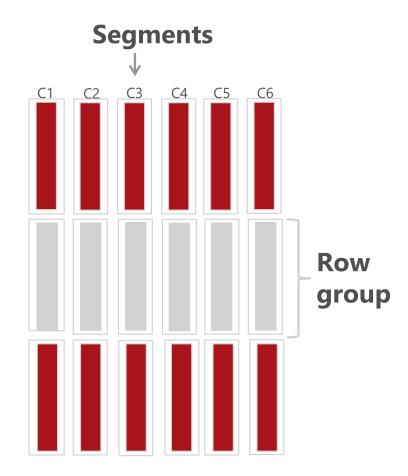
## In-Memory DW Storage Model Data Stored Column-wise

- Each page stores data from a single column
- Highly compressed
  - More data fits in memory
- Each column can be accessed independently
  - Fetch only columns needed
  - Can dramatically decrease I/O



## In-Memory DW Index Structure Row Groups & Segments

- A segment contains values for one column for a set of rows
- Segments for the same set of rows comprise a row group
- Segments are compressed
- Each segment stored in a separate LOB
- Segment is unit of transfer between disk and memory



## In-Memory DW Index Processing an Example

OrderDateKey	ProductKey	StoreKey	RegionKey	Quantity	SalesAmount
20101107	106	01	1	6	30.00
20101107	103	04	2	1	17.00
20101107	109	04	2	2	20.00
20101107	103	03	2	1	17.00
20101107	106	05	3	4	20.00
20101108	106	02	1	5	25.00
20101108	102	02	1	1	14.00
20101108	106	03	2	5	25.00
20101108	109	01	1	1	10.00
20101109	106	04	2	4	20.00
20101109	106	04	2	5	25.00
20101109	103	01	1	1	17.00

## Horizontally Partition Row Groups

OrderDateKey	ProductKey	StoreKey	RegionKey	Quantity	Sales Amount
20101107	106	01	1	6	30.00
20101107	103	04	2	1	17.00
20101107	109	04	2	2	20.00
20101107	103	03	2	1	17.00
20101107	106	05	3	4	20.00
20101108	106	02	1	5	25.00
OrderDateKey	ProductKey	StoreKey	RegionKey	Quantity	Sales Amount
OrderDateKey 20101108	ProductKey 102	StoreKey 02	RegionKey	Quantity 1	SalesAmount 14.00
	-				
20101108	102	02	1	1	14.00
20101108 20101108	102 106	02	1 2	1 5	14.00 25.00
20101108 20101108 20101108	102 106 109	02 03 01	1 2 1	1 5 1	14.00 25.00 10.00

## Vertical Partition Segments

OrderDateKey	ProductKey	StoreKey	RegionKey	Quantity	Sales Amount
20101107	106	01	1	6	30.00
20101107	103	04	2	1	17.00
20101107	109	04	2	2	20.00
20101107	103	03	2	1	17.00
20101107	106	05	3	4	20.00
20101108	106	02	1	5	25.00
OrderDateKey	ProductKey	StoreKey	RegionKey	Quantity	SalesAmount
20101108	102	02	1	1	14.00
20101108	106	00			
	106	03	2	5	25.00
20101108	109	01	1	1	25.00
20101108	109	01	1	1	10.00

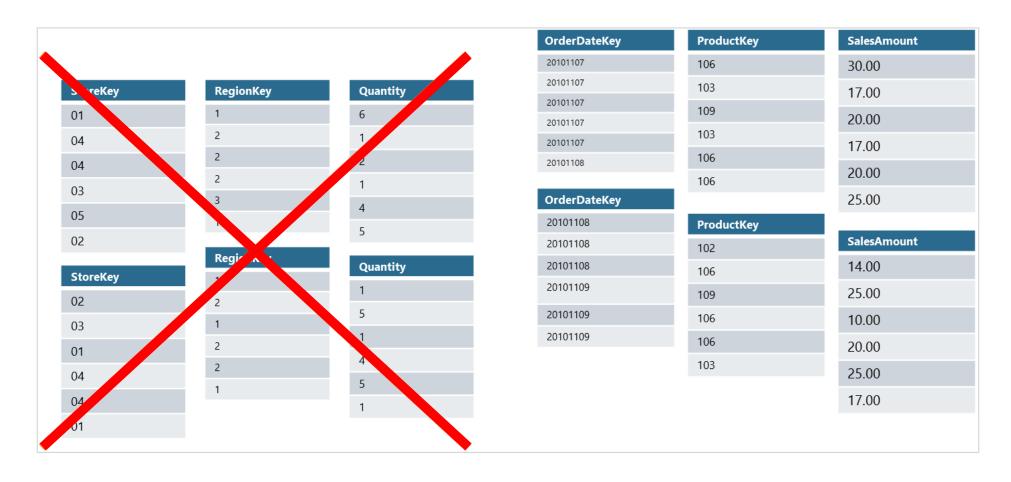
## Compress Each Segment\* Some Compress More than Others

OrderDateKey	ProductKey	StoreKey	RegionKey	Quantity	SalesAmount
20101107	106	01	1	6	30.00
20101107	103	04	2	1	17.00
20101107	109	04	2	2	20.00
20101107	103	03	2	1	
20101107	106		3	4	17.00
20101106	106	05	1	5	20.00
OrderDateKey		02	RegionKey		25.00
20101108	ProductKey	StoreKey	1	Quantity	
20101108	102	02	2	1	SalesAmount
20101108	106	03	1	5	14.00
20101109	109		2	1	25.00
20101109	106	01	2	4	10.00
20101109	106	04	1	5	
	103	04		1	20.00
		01			25.00
					17.00

<sup>\*</sup>Encoding and reordering not shown

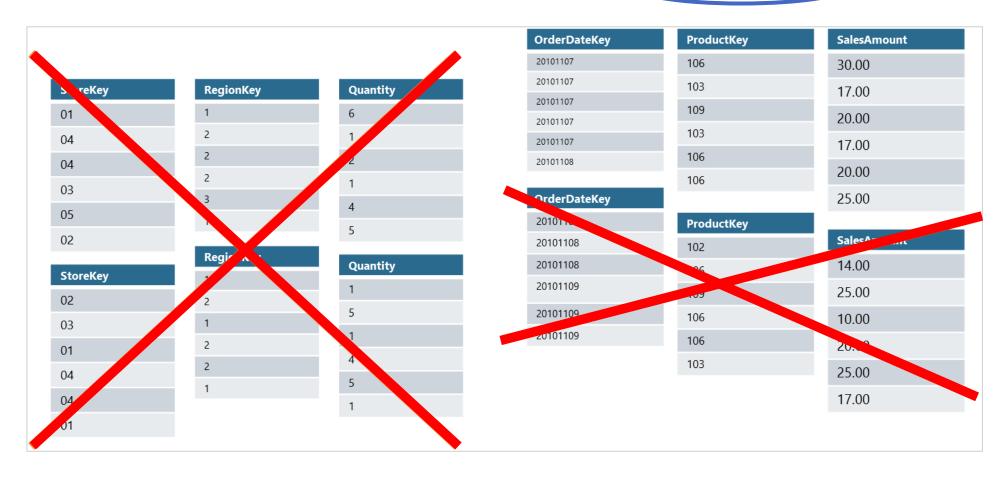
## Fetch Only Needed Columns Segment Elimination

SELECT ProductKey, SUM (SalesAmount) FROM SalesTable WHERE OrderDateKey < 20101108

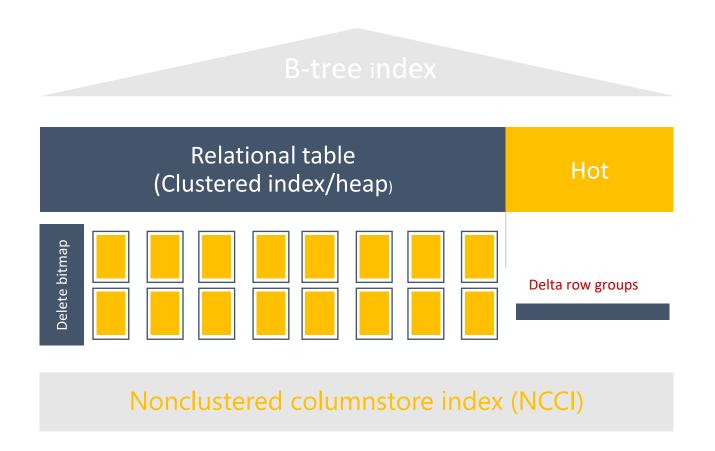


## Fetch Only Needed Segments Segment Elimination

SELECT ProductKey, SUM (SalesAmount)
FROM SalesTable
WHER OrderDateKey < 20101108



## Operational Analytics with columnstore index



#### Key points

Create an updateable NCCI for analytics queries

Drop all other indexes that were created for analytics

No application changes

Columnstore index is maintained just like any other index

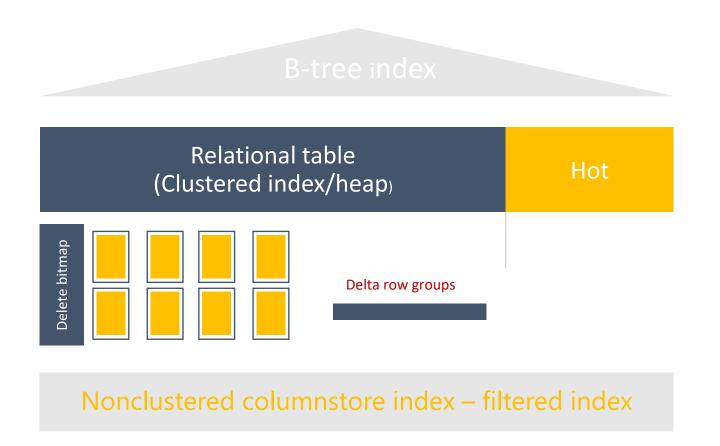
Query optimizer will choose columnstore index where needed

## Operational Analytics with columnstore index

#### DML operations on OLTP workload

Operation	B-tree (NCI)	Non-clustered columnstore index (NCCI)
Insert	Insert row into B-tree.	Insert row into B-tree (delta store).
Delete	<ul><li>(a) Seek row(s) to be deleted.</li><li>(b) Delete the row.</li></ul>	<ul><li>(a) Seek row in delta stores.</li><li>(There can be multiple rows.)</li><li>(b) If found, delete row.</li><li>(c) If not found, insert key into delete row buffer.</li></ul>
Update	<ul><li>(a) Seek the row(s).</li><li>(b) Update.</li></ul>	<ul><li>(a) Delete row (steps same as above).</li><li>(b) Insert updated row into delta store.</li></ul>

#### Operational Analytics: minimizing columnstore overhead



#### Key points

Create columnstore only on cold data by using filtered predicate to minimize maintenance

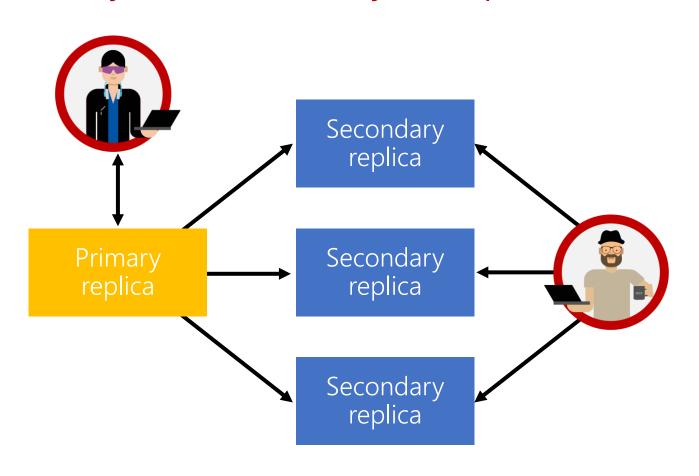
Analytics query accesses both columnstore and 'hot' data transparently

Example:

Order Management Application: create nonclustered columnstore index where order\_status = 'SHIPPED'

#### Using Availability Groups instead of data warehouses

#### Always On Availability Group



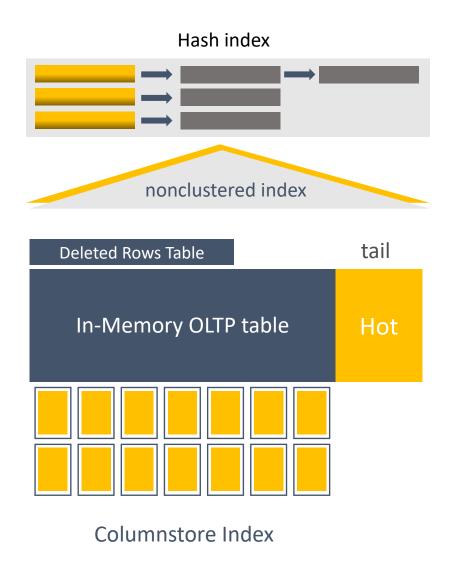
#### Key points

Mission-critical operational workloads typically configured for high availability using Always On Availability Groups

You can offload analytics to readable secondary replica



#### Operational Analytics: columnstore on In-Memory Tables



## No explicit delta row group

Rows (tail) not in columnstore stay in In-Memory OLTP table

No columnstore index overhead when operating on tail

Background task migrates rows from tail to columnstore in chunks of 1 million rows

**Deleted Rows Table (DRT) – Tracks deleted rows** 

**Columnstore data fully resident in memory** 

Persisted together with operational data

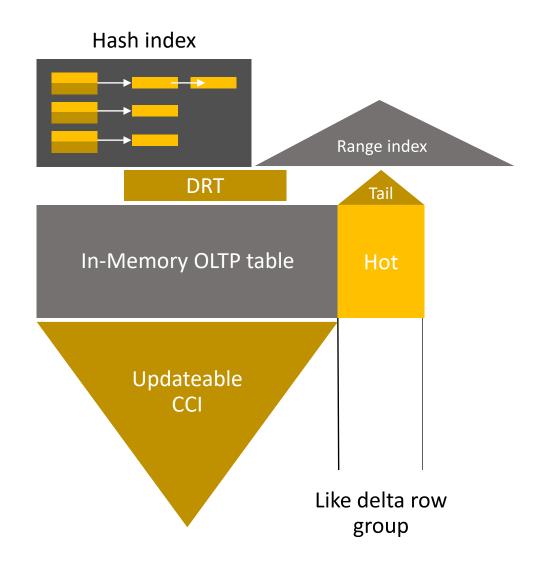
No application changes required

# Operational Analytics: columnstore overhead

#### DML operations on In-Memory OLTP

Operation	Hash or range index	HK-CCI
Insert	Insert row into HK.	Insert row into HK.
Delete	<ul><li>(a) Seek row(s) to be deleted.</li><li>(b) Delete the row.</li></ul>	<ul><li>(a) Seek row(s) to be deleted.</li><li>(b) Delete the row in HK.</li><li>(c) If row in TAIL, then return.</li><li>If not, insert <colstore-rid> into DRT.</colstore-rid></li></ul>
Update	<ul><li>(a) Seek the row(s) to be updated.</li><li>(b) Update (delete/insert).</li></ul>	<ul><li>(a) Seek the row(s) to be updated.</li><li>(b) Update (delete/insert) in HK.</li><li>(c) If row in TAIL, then return</li><li>If not, insert <colstore-rid> into DRT.</colstore-rid></li></ul>

#### Operational Analytics: minimizing columnstore overhead



#### DML operations

Keep hot data only in in-memory tables Example: data stays hot for 1 day, 1 week...

#### Workaround:

Use TF – 9975 to disable auto-compression Force compression using a spec-proc "sp\_memory\_optimized\_cs\_migration"

### Analytics queries

Offload analytics to AlwaysOn readable secondary

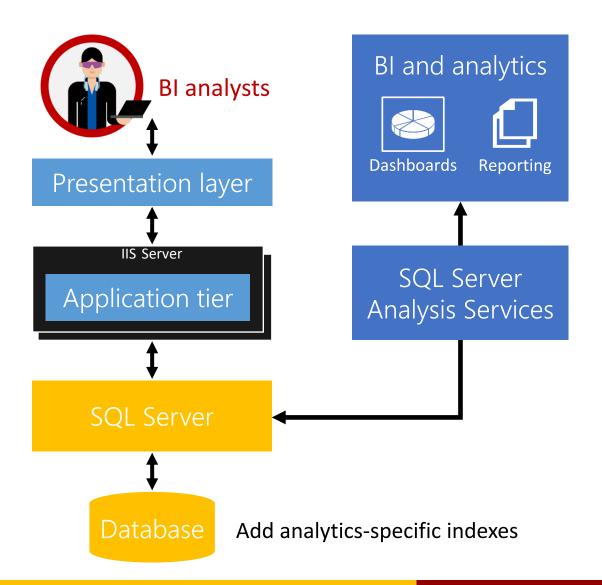
# Summary of improvements

Improvements	SQL Server 2014	SQL Server 2016
clustered columnstore index	Master copy of the data (10x compression) Only index supported; simplified analytics No PK/FK constraints Uniqueness can be enforced through materialized views Locking granularity for UPDATE/DELETE at row group level DDL: ALTER, REBUILD, REORGANIZE	Master copy of the data (10x compression) Additional B-tree indexes for efficient equality, short-range searches, and PK/FK constraints Locking granularity at row level using NCI index path DDL: ALTER, REBUILD, REORGANIZE
updateable non- clustered index	Introduced in SQL Server 2012  NCCI is <b>read-only</b> : no delete bitmap or delta store  Optimizer will choose between NCCI and NCI(s)/CI or heap-based on the cost-based model  Partitioning supported	Updateable Ability to mix OLTP and analytics workload Ability to create filtered NCCI Partitioning supported
equality and short- range queries	Row group elimination (when possible)  Partition-level scan (somewhat expensive)  Full index scan (expensive)	Optimizer can choose NCI on column C1; index points directly to row group  No full index scan  Covering NCI index
string predicate pushdown	Retrieve 10 million rows by converting dictionary encoded value to string  Apply string predicate on 10 million rows	Apply filter on dictionary entries  Find rows that refer to dictionary entries that qualify (R1)  Find rows not eligible for this optimization (R2)  Scan returns (R1 + R2) rows  Filter node applies string predicate on (R2)  Row returned by Filter node = (R1 + R2')

# Support for index maintenance

Operation	SQL Server 2014	SQL Server 2016
Removing deleted rows	Requires index REBUILD	Index REORGANIZE Remove deleted rows from single compressed RG Merge one or more compressed RGs with deleted rows Done ONLINE
Smaller RG size resulting from: Smaller BATCHSIZE Memory pressure Index build residual	Index REBUILD	Index REORGANIZE
Ordering rows	Create clustered index Create columnstore index by dropping clustered index	No changes
Query	Row group granularity No support for RCSI or SI Recommendation: use read uncommitted	Support of SI and RCSI (non-blocking)
Insert	Lock at row level (trickle insert) Row group level for set of rows	No changes
Delete	Lock at row group level	Row-level lock in conjunction with NCI
Update	Lock at row group level Implemented as Delete/Insert	Row-level lock in conjunction with NCI
AlwaysOn Failover Clustering (FCI)	Fully supported	Fully supported
AlwaysON Availability Groups	Fully supported except readable secondary	Fully supported with readable secondary
Index create/rebuild	Offline	Offline

# Summary: Operational Analytics



## Capability

Ability to run analytics queries concurrently with operational workloads using the same schema

Data Warehouse queries can be run on In-Memory OLTP workloads with no application changes

#### **Benefits**

Minimal impact on OLTP workloads

Best performance and scalability available

Offloading analytics workload to readable secondary

.



Your flight data recorder for your database



# Problems with query performance

Database is Website Is down working

not

# Fixing query plan choice regressions is difficult

Query plan cache is not well-suited for performance troubleshooting

Impossible to Temporary predict / root perf issues cause

## Long time to detect the issue (TTD)

- Which query is slow? Why is it slow?
- What was the previous plan?

Regression DB caused by upgraded new bits

## Long time to mitigate (TTM)

- Can I modify the query?
- How to use plan guide?

Plan choice change can cause these problems

# The solution: Query Store

## Dedicated store for query workload performance data

Captures the history of plans for each query

Captures the performance of each plan over time

Persists the data to disk (works across restarts, upgrades, and recompiles)

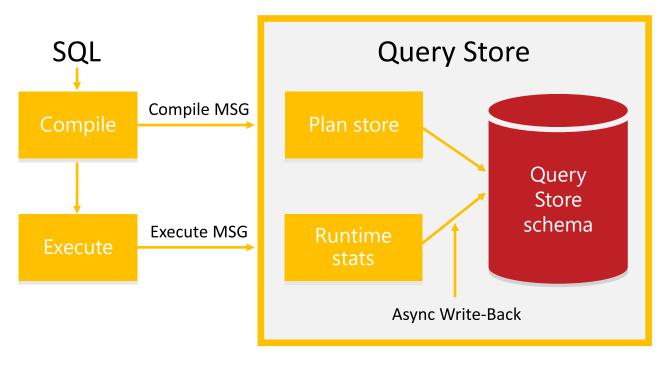
## Significantly reduces TTD/TTM

Find regressions and other issues in seconds

Allows you to force previous plans from history

#### DBA is now in control

# Query Store Architecture



Durability latency controlled by DB option

DATA\_FLUSH\_INTERNAL\_SECONDS

Collects query texts (plus all relevant properties)

Stores all plan choices and performance metrics

Works across restarts / upgrades / recompiles

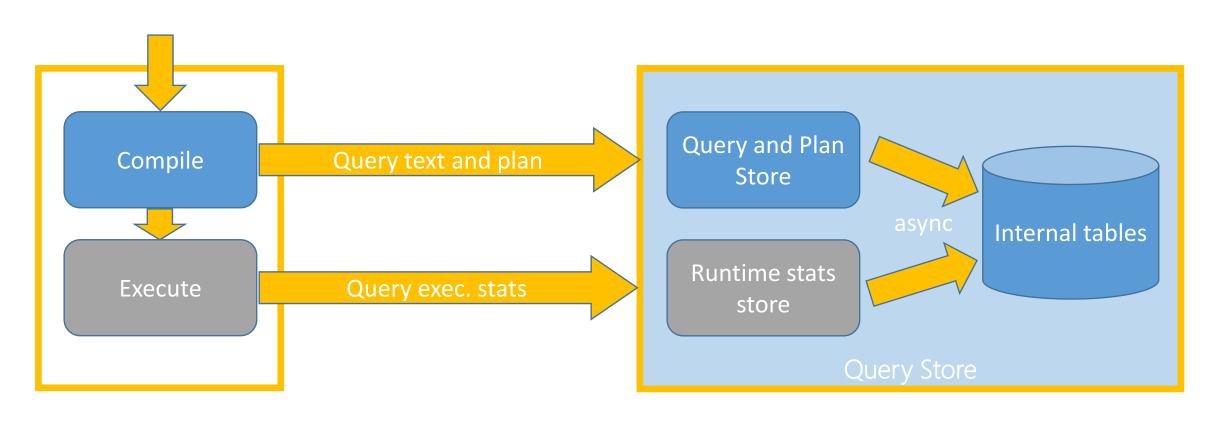
Dramatically lowers the bar for performance troubleshooting

**New Views** 

Intuitive and easy plan forcing

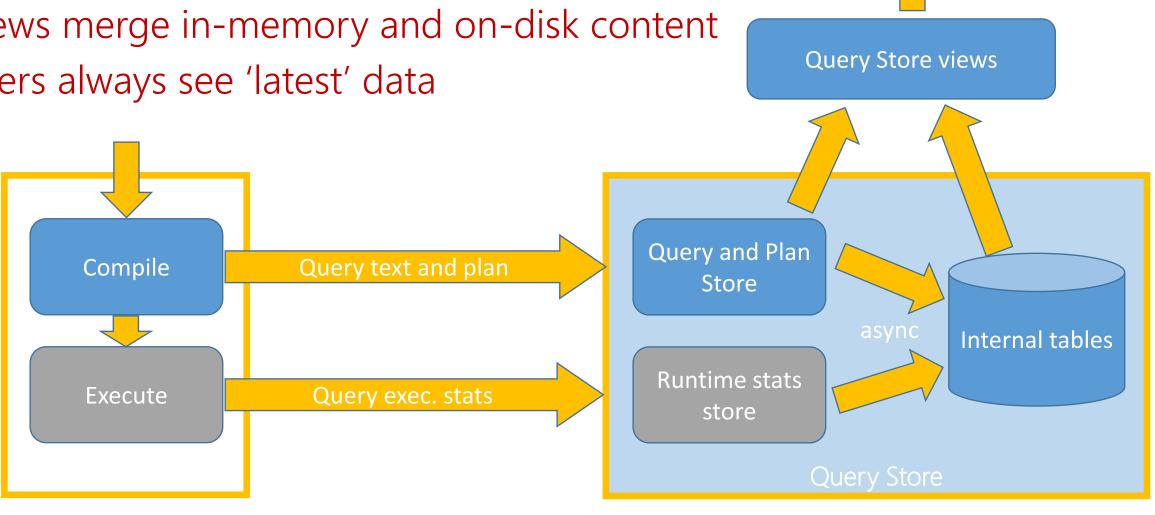
# Query Store write architecture

Query Store captures data in-memory to minimize I/O overhead Data is persisted to disk asynchronously in the background

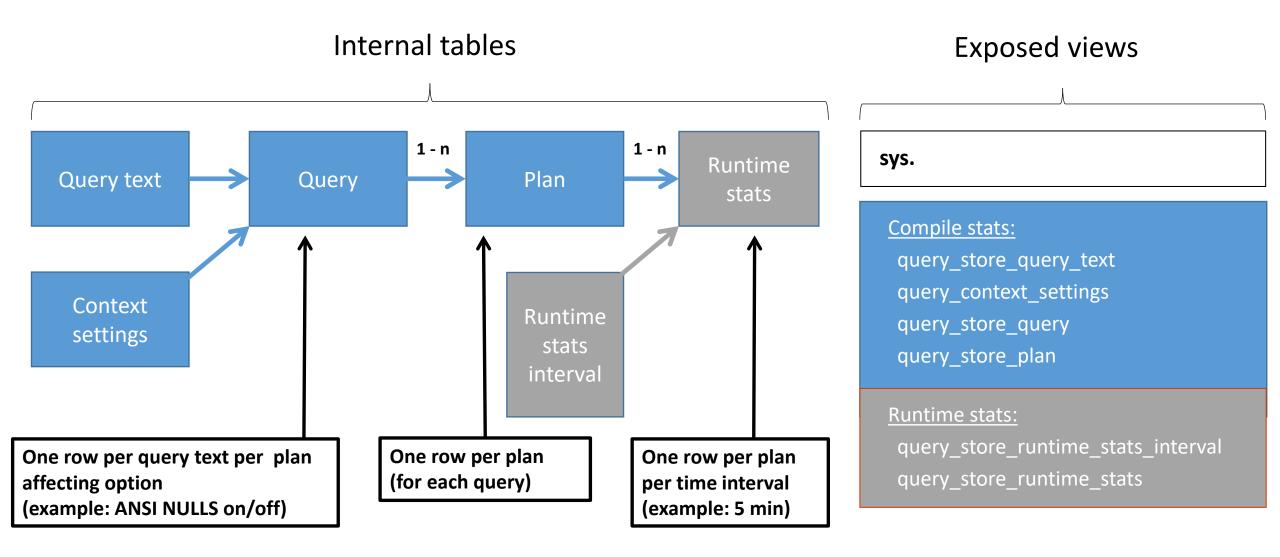


# Query Store read architecture

Views merge in-memory and on-disk content Users always see 'latest' data



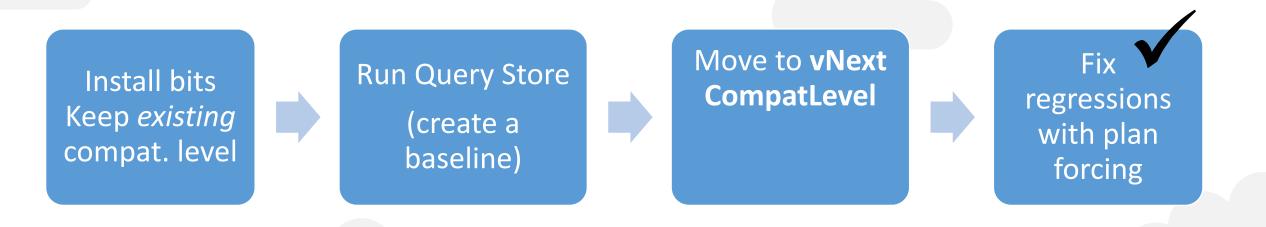
# Query Store schema explained



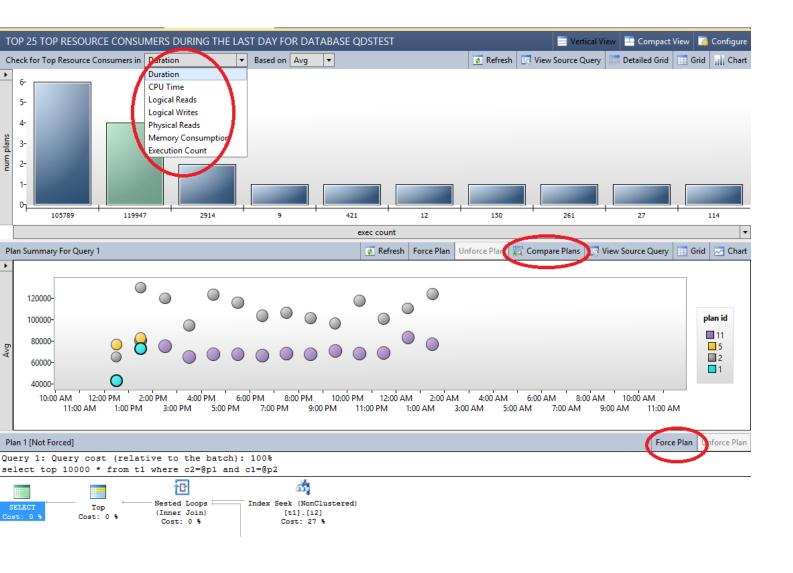
# Keeping stability while upgrading to SQL Sever 2016

#### SQL Server 2016

Query Optimizer (QO) enhancements tied to database compatibility level



# Monitoring performance by using the Query Store



The Query Store feature provides DBAs with insight on query plan choice and performance

# Working with Query Store

```
/* (6) Performance analysis using Query Store views*/
SELECT q.query id, qt.query text id, qt.query sql text,
SUM(rs.count executions) AS total execution count
FROM
sys.query store query text qt JOIN
sys.query_store_query q ON qt.query_text_id =
q.query text id JOIN
sys.query store_plan p ON q.query_id = p.query_id JOIN
sys.query_store_runtime_stats rs ON p.plan_id = rs.plan_id
GROUP BY q.query id, qt.query text id, qt.query sql text
ORDER BY total execution count DESC
/* (7) Force plan for a given query */
exec sp_query_store force plan
12 /*@query id*/, 14 /*@plan id*/
/* (4) Clear all Query Store data */
ALTER DATABASE MyDB SET QUERY STORE CLEAR;
/* (5) Turn OFF Query Store */
ALTER DATABASE MyDB SET QUERY STORE = OFF;
```

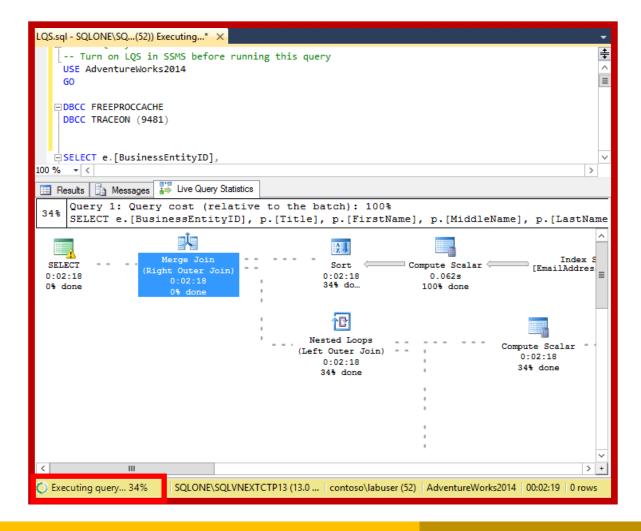
# DB-level feature exposed through T-SQL extensions

#### **ALTER DATABASE**

Catalog views (settings, compile, and runtime stats)

Stored Procs (plan forcing, query/plan/stats cleanup)

# Live query statistics



View CPU/memory usage, execution time, query progress, and more

Enables rapid identification of potential bottlenecks for troubleshooting query performance issues

Allows drill down to live operator level statistics:

Number of generated rows

Elapsed time

Operator progress

Live warnings

## Summary: Query Store

## Capability

Query Store helps customers quickly find and fix query performance issues

Query Store is a 'flight data recorder' for database workloads

#### Benefits

Greatly simplifies query performance troubleshooting

Provides performance stability across SQL Server upgrades

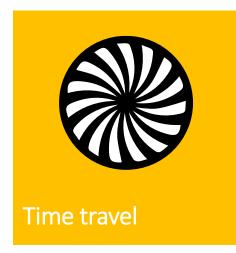
Allows deeper insight into workload performance



Query back in time



# Why temporal









## Data changes over time

Tracking and analyzing changes is often important

#### Temporal in DB

Automatically tracks history of data changes

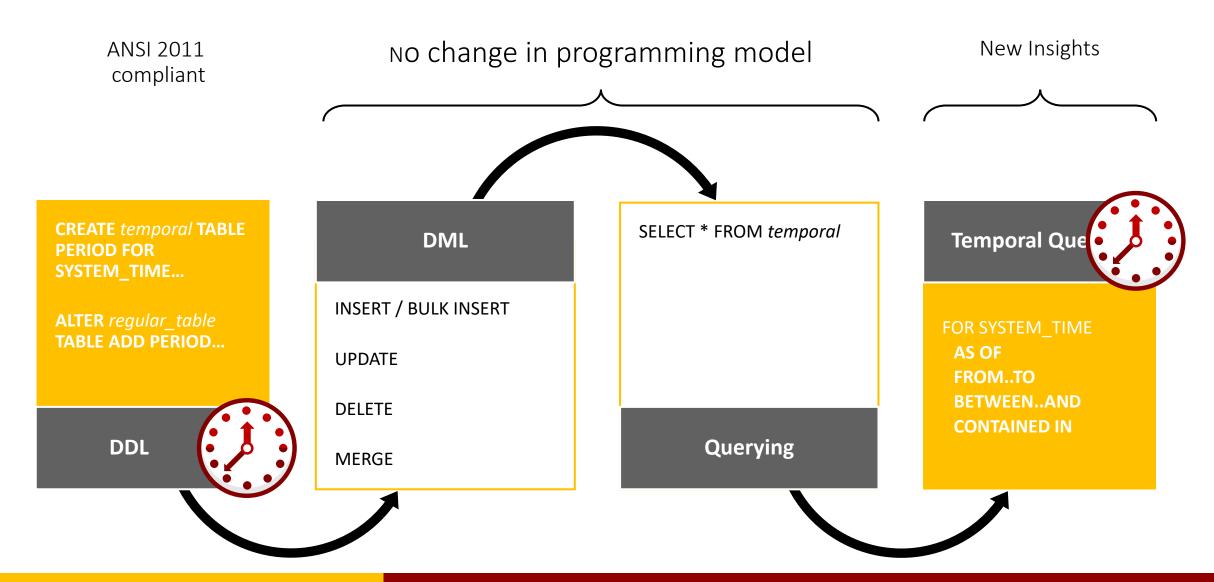
Enables easy querying of historical data states

## Advantages over workarounds

Simplifies app development and maintenance

Efficiently handles complex logic in DB engine

# How to start with temporal



# Temporal database support: BETWEEN

SELECT \* FROM
Person.BusinessEntityContact
FOR SYSTEM\_TIME BETWEEN @Start AND @End
WHERE ContactTypeID = 17

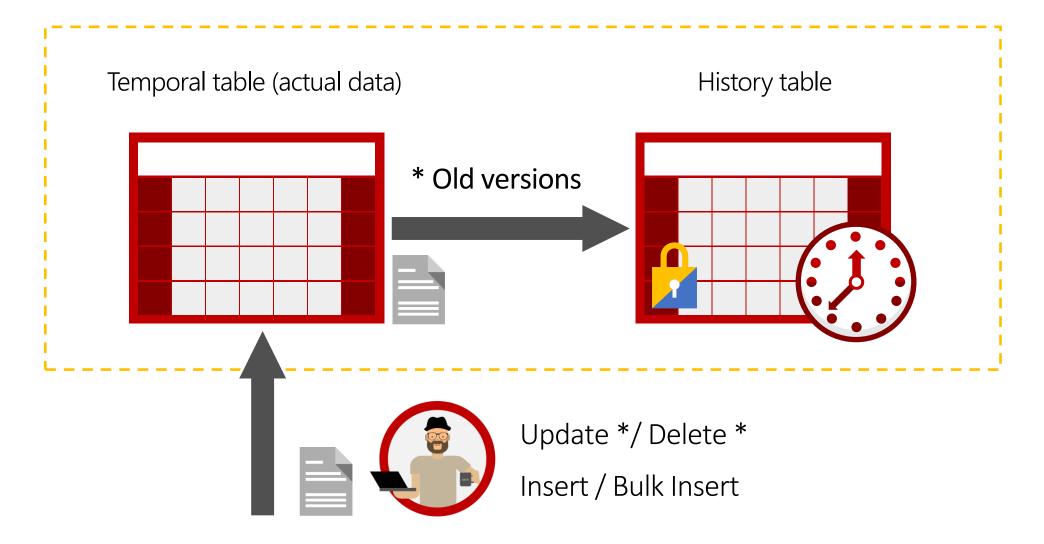
Provides correct information about stored facts at any point in time, or between two points in time

There are two orthogonal sets of scenarios with regards to temporal data:

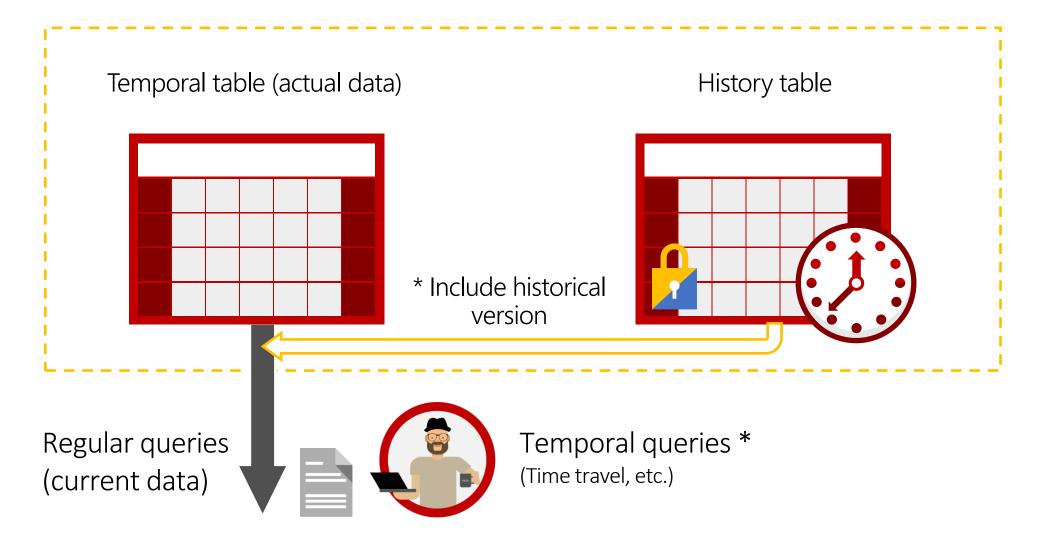
System (transaction)-time

Application-time

# How does system-time work?



# How does system-time work?



# Application-time temporal

```
SELECT * FROM Employee
WHERE VALID TIME CONTAINS '2013-06-30'
SELECT * FROM Employee
WHERE EmployeeNumber = 1 AND
VALID TIME OVERLAPS PERIOD ('2013-06-30', '2014-01-01')
/* Temporal join */
SELECT * FROM Employee E
JOIN Position D ON E.Position = D.Position AND
D. VALID TIME CONTAINS PERIOD E. VALID TIME
```

#### Limits of system-time

Time flows 'forward only'

System-time ≠ business-time (sometimes)

Immutable history, future does not exist

#### App-time = new scenarios

Correct past records as new info is available (HR, CRM, insurance, banking)
Project future events (budgeting, what-if, loan repayment schedule)
Batch DW loading (with delay)

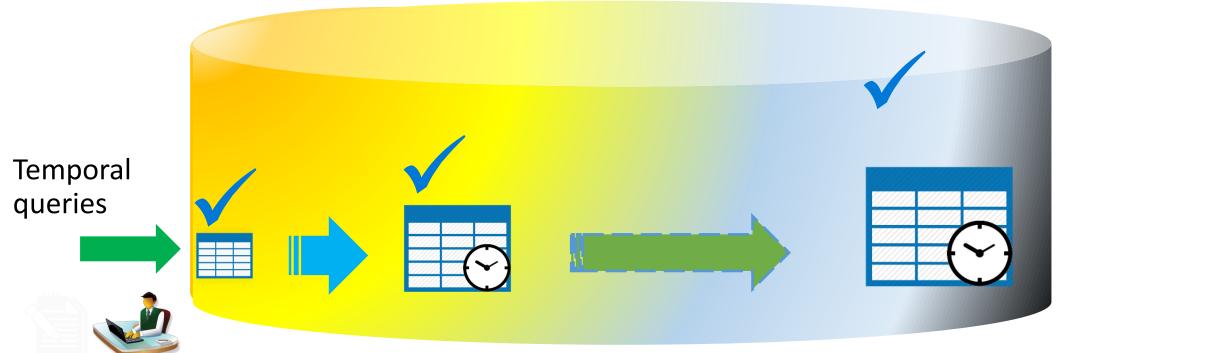
Easy time travel querying

Temporal edits

Consistency

# Temporal data continuum

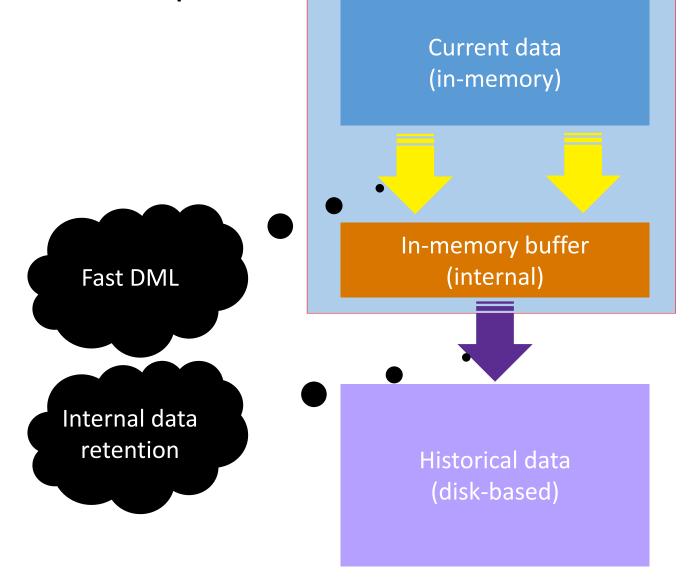
#### SQL Database



# In-Memory OLTP and temporal

# Extreme OLTP with cost-effective data history

Disk-based history table
Super-fast DML and current data querying
Temporal querying in interop mode



# Summary: Temporal Tables

Quickly add historical versioning with minimal developer effort

Add temporal data to existing tables without downstream impact

Support for temporal queries, auditing, and change tracking

